



Attorney's Docket No. 021932-000002

COFC #14  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Number: 6,567,790  
Issued: May 20, 2003  
Name of Patentee: Slane, Robert C.  
Title of Invention: Establishing and Managing Grantor Retained Annuity Trusts Funded by Nonqualified Stock Options

Mail Stop: Decision and Certificate of Correction  
Branch of the Patent Issue Division

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate**  
JUN 10 2003  
**of Correction**

**REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT  
FOR PTO MISTAKE (37 CFR 1.322(a))**

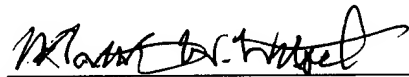
1. The errors are the result of the PTO omitting amendments from an Amendment under 37 CFR §1.111, filed by facsimile on December 18, 2002, a copy of which is attached hereto for reference. (8 pages including facsimile confirmation receipt).
2. The exact page and line number where the errors are shown correctly in the application file are listed on the attached Form PTO/SB/44, attached in duplicate.
3. Please send the Certificate to :  
Name: Matthew W. Witsil  
Address: 2200 West Main Street, Suite 800  
Durham, NC 27705

(complete, if applicable)

Respectfully submitted,

Moore & Van Allen PLLC

Dated: 3 June 2003

By:   
Matthew W. Witsil,  
Reg. No. 47,183

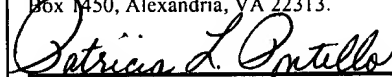
2200 West Main Street, Suite 800  
Durham, NC 27705  
PHONE: 919-286-8000  
FAX: 919-286-8199

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**CERT. OF MAILING**

I hereby certify that this correspondence is being deposited with the US Postal Service as first class mail on 6/3/03, in an envelope addressed to Comm. of Patents, Box 1450, Alexandria, VA 22313.

  
Patricia L. Pontello

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

**PATENTNO** 6,567,790  
**DATED** May 20, 2003  
**INVENTOR(S)** Slane, Robert C.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

MAILING ADDRESS OF SENDER: Moore & Van Allen PLLC  
2200 West Main Street  
Suite 800  
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PATENT NO 6,567,790

**Claim 13, column 9, lines 15-16, delete the phrase "with the family member grantees as named beneficiaries" and insert in place thereof --, said life insurance policy having the ILIT as named beneficiary, said ILIT having the family member grantee as named beneficiary, and--.**

**Claim 25, column 10, lines 38-40, delete the phrase "at least equal to the first year's annuity on an estimated present value basis assuming a rate of return on said amount of cash in said GRAT"; and**

**lines 49-50, delete the phrase "said grantor with said family member grantees as named beneficiaries," and insert in place thereof --the grantor, said life insurance policy having the ILIT as named beneficiary, said ILIT having the family member grantee as named beneficiary, and--.**

No of additional copies 0

Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comment on the amount of time you are required to complete this form should be sent to the Chief Information Officer, US Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 6,567,790 B1  
DATED : May 20, 2003  
INVENTOR(S) : Slane, Robert C.

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 9,

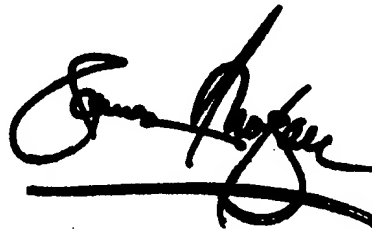
Lines 15-16, delete the phrase "with the family member grantees as named beneficiaries" and insert in place thereof -- said life insurance policy having the ILIT as named beneficiary, said ILIT having the family member grantee as named beneficiary, and --.

Column 10,

Lines 38-40, delete the phrase "at least equal to the first year's annuity on an estimated present value basis assuming a rate of return on said amount of cash in said GRAT";  
Lines 49-50, delete the phrase "said grantor with said family member grantees as named beneficiaries," and insert in place thereof -- the grantor said life insurance policy having the ILIT as named beneficiary, said ILIT having the family member grantee as named beneficiary, and --.

Signed and Sealed this

Twenty-third Day of September, 2003

A handwritten signature in black ink, appearing to read "James E. Rogan", with a horizontal line drawn underneath it.

JAMES E. ROGAN  
*Director of the United States Patent and Trademark Office*